

<u>IA Ref</u>	<u>Audit Title</u>	<u>2013/14 Days</u>	<u>Position as at end November 2013</u>	<u>Opinion</u>
<b>Carry over Work</b>				
	<b>Completion of reviews in progress as at 31st March</b>	150		
	2012/13 Phase 2 Accounts Payable/Purchase Ledger ( including feeder systems)		Final report issued	Adequate
	2012/13 Phase 2 Accounts Receivable/Sales Ledger		Final report issued	Adequate
	2012/13 Phase 1 Asset Management (incl. Asset Register)/Capital Accounting		Final report issued	Adequate
	2012/13 Phase 2 Asset Management (incl. Asset Register)/Capital Accounting		Final report issued	Adequate
	2012/13 Phase 2 Council Tax		Final report issued	Full
	2012/13 Phase 2 Housing Benefits		Final report issued	Adequate
	2012/13 Phase 2 Main Accounting Systems (MAS)		Final report issued	Adequate
	2012/13 Phase 2 National Non Domestic Rates NNDR		Final report issued	Full
	2012/13 Phase 2 Payroll		Final report issued	Limited
	SWIFT Financials		Final report issued	Adequate
	2012/13 Phase 2 Treasury Management		Final report issued	Adequate
	2012/13 SAP Access and Security		Final report issued	Limited
	2012/13 IT Disaster Recovery		Final report issued	Limited
	Grants Register		Final report issued	Adequate
	Contractors Home to Work mileage		Finalised	n/a
	ICT Contract Management		Scoping in Progress	
	IT Governance Phase 2		Work planned	
	SWIFT Disaggregation Consultancy		Completed	n/a
	Contract Management - Amey		Final report issued	Adequate
	Data Quality		Draft report issued	
	Ethical Governance		Final report issued	Adequate
	Biggleswade Day Centre		Final report issued	Adequate
	Leighton Buzzard Day Centre		Final report issued	Adequate
	Domiciliary Care Units		Final report issued	Adequate
	Direct Payments for Care		Final draft issued	
	Service Level Agreements - Follow Up		Final report issued	Satisfactory progress
	Hawthorn Park Lower School Follow Up		Final report issued	Satisfactory progress
	Sutton Lower School		Final report issued	Adequate
	Templefield Lower School		Final report issued	Adequate
	The Lawns Nursery		Final report issued	Adequate
<b>Total - Carry over Work</b>		<b>150</b>		

<u>IA Ref</u>	<u>Audit Title</u>	<u>2013/14 Days</u>	<u>Position as at end November 2013</u>	<u>Opinion</u>
<b>Managed Audits (Key Financial Systems)</b>				
	Accounts Payable/Purchase Ledger ( including feeder systems)	35	Fieldwork completed	Adequate (Provisional)
	Accounts Receivable/Sales Ledger	30	Fieldwork ongoing	Adequate (Provisional)
	Asset Management (incl. Asset Register)/Capital Accounting	30	Scoping in Progress	
	Council Tax	35	Fieldwork ongoing	
	Housing Benefits (including Council Tax Support Scheme)	45	Fieldwork ongoing	
	Main Accounting Systems (MAS)	30	Fieldwork ongoing	Adequate (Provisional)
	National Non Domestic Rates NNDR	35	Fieldwork ongoing	
	Payroll	40	Fieldwork ongoing	
	SWIFT Financials	15	Fieldwork commenced	
	Treasury Management	25	Fieldwork completed	Adequate (Provisional)
	Housing Rents including tenant arrears	25	Fieldwork ongoing	Adequate (Provisional)
	Cash And Banking (Non Invoiced Income)	20	Fieldwork completed	Adequate (Provisional)
<b>Total - Managed Audits</b>		<b>365</b>		
<b>Assurance Audits - Organisation and People</b>				
	Recruitment Controls ( including vetting)	15	Scoping in Progress	
	Data Quality	15	Scoping in Progress	
<b>Total- Organisation and People</b>		<b>30</b>		
<b>Assurance Audits - Corporate Services</b>				
	Teachers Pensions	15	Scoping in progress	
	Major projects - Consultancy	20	Ongoing. Work undertaken includes ESS/MSS, Routewise, and the Local Welfare Provision Board	n/a
	Impact of Welfare Reform	15	Scoping in progress	
	Adherence to Procurement Procedures	15	Scope agreed	
	DSG - outturn compilation	5	Finalised	n/a
<b>Total - Corporate Services</b>		<b>70</b>		
<b>Assurance Audits - Children's Services</b>				
	Schools General - School Improvement	80	Ongoing. 9 final reports issued, and 3 further draft reports prepared.	
	Corporate Financial Management	10	Scope agreed	
<b>Total - Children's Services</b>		<b>90</b>		

<u>IA Ref</u>	<u>Audit Title</u>	<u>2013/14 Days</u>	<u>Position as at end November 2013</u>	<u>Opinion</u>
<b>Assurance Audits - Social Care, Health and Housing</b>				
	Housing Repairs	25	Draft report issued	
	Domiciliary Care Framework Agreement	10	Scoping in Progress	
	Financial Management	15	Scoping in Progress	
	Direct Payments	15	Not started	
	Independent review of Care Homes Contract Model	10	Fieldwork commenced	
<b>Total - Social Care, Health and Housing</b>		<b>75</b>		
<b>Assurance Audits - Sustainable Communities</b>				
	Section 278 Agreements	15	Scoping in Progress	
<b>Total - Sustainable Communities</b>		<b>15</b>		
<b>Assurance Audits - Governance</b>				
	Officers Declaration of Interests - Follow Up	5	Final report issued	Satisfactory progress
	Members Declaration of Interests	5	Draft report issued	
	Officers Hospitality and Gifts - Follow Up	5	Work planned	
	Members Hospitality and Gifts - Follow Up	5	Work planned	
<b>Total - Governance</b>		<b>20</b>		
<b>Assurance Audits - Contracts and Partnerships</b>				
	Contracts Management	20	Fieldwork ongoing	
	Value for Money review of spend on legal advice	15	Final draft report issued	
<b>Total - Contracts and Partnerships</b>		<b>35</b>		
<b>Assurance Audits - ICT</b>				
	Customer First Information Security	15	Not started	
	IT Disaster Recovery	10	Work planned	
	SAP Access and Security	10	Work planned	
	ICT Governance Phase 2	15	Work planned	
	IT Network Management and Security	15	Not started	
<b>Total - ICT</b>		<b>65</b>		
<b>Assurance Audits - Public Health</b>				
	Review of SLAs	15	Scoping in Progress	
<b>Total - Public Health</b>		<b>15</b>		
<b>Special Investigations</b>				
	National Fraud Initiative (NFI)	20	ongoing throughout year	n/a
	Special Investigations Pro Active Anti Fraud:	40	ongoing	n/a
	Timesheet claims	10	Scoping in Progress	
	Expense claims	10	Work planned	
<b>Total - Special investigations</b>		<b>80</b>		
<b>Ad Hoc Consultancy etc,</b>				
	Risk Management Activities	35	ongoing throughout year	n/a
	Supporting Annual Governance Statement	5	12/13 AGS finalised	n/a

<u>IA Ref</u>	<u>Audit Title</u>	<u>2013/14</u>	<u>Position as at</u> <u>end</u> <u>November</u> <u>2013</u>	<u>Opinion</u>
		<b>Days</b>		
	Audit of Individual Grants	20	ongoing	n/a
	General Advice	20	ongoing throughout year	
	Head of Audit Chargeable Against Plan	60	ongoing throughout year	n/a
	Benchmarking Exercise	5	Completed	n/a
	Contingency	105	To date this has been used to supplement the consultancy budget	
<b>Total - Ad Hoc Consultancy etc.</b>		<b>250</b>		
<b>TOTAL CHARGEABLE DAYS REQUIRED</b>		<b>1260</b>		